



Uncover timely and critical information before proceeding with key investments, strategic partnerships, mergers and acquisitions or proxy battles and other corporate disputes.

Effective negotiations depend upon accurate information - as does any major decision involving strategic risk to the corporation. We can help you validate the assertions and representations made by parties across the boardroom table - and uncover other information that can yield crucial insights into their integrity, track record and reputation.

Hillard Heintze's investigators, business intelligence analysts and research experts specialize in complex corporate investigations in the U.S. and around the world. We serve as trusted advisors to the legal, financial and compliance teams charged with formalizing major transactions, large-scale contracts and strategic business relationships.



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CORPORATE INVESTIGATIONS AND DUE DILIGENCE SERVICES



- **Stronger assurance for management and the board that strategic decisions, major transactions and core deal assumptions are based on a comprehensive due diligence review of critical third parties**
- **Timely identification of facts, representations and disclosures that may be false, misleading, insufficient or incomplete, leading to more effective negotiation, better structuring and pricing of the deal and perhaps even withdrawal from the transaction**
- **More accurate measurement and analysis of risk – financial, legal, operational, regulatory or technological – and a higher probability of realizing the anticipated value or synergies**

SCOPE OF SERVICES

U.S. and Global Due Diligence Investigations of Corporate Entities and Their Operations

Often undertaken in conjunction with our Strategic Background Investigations (Level II) of business executives and key principals, this intensive review focuses principally on corporations – and their reputation, financial position and compliance record. Scope of investigation includes factors such as corporate history and ownership structure; civil and criminal litigation history; judgments, liens and filings; financial health including bankruptcy and credit history; material contracts; governance oversight and internal controls; environmental performance; and business and political affiliations.

Regulatory Compliance Investigations Related to Corruption, Bribery or Political Ties

Systematic approach to combining searches of U.S. and international public data sources, business intelligence and best-practice protocols to investigate and uncover a corporation’s regulatory compliance record with respect to areas such as FCPA, AML and the Patriot Act. Scope can also include reviews of compliance with regulations such as HIPAA, Sarbanes Oxley and Gramm-Leach-Bliley.

Special Support to Mergers and Acquisitions (M&A) Teams

Investigative analysis that helps the legal, financial, compliance and valuation experts on M&A teams quickly and accurately identify and quantify the relevant sources of value and risk for a transaction. Scope of reviews include issues related to governance, management, operations, finance and compliance.

OUR CLIENTS FOR CORPORATE INVESTIGATIONS AND DUE DILIGENCE SERVICES

- Senior Management Teams and Corporate Boards
- Law Firms
- Investment Banks and Private Equity Groups
- Dedicated In-House M&A Teams
- Financial Analysts and Valuation Experts
- Auditors and Accountants
- State, Federal and International Regulators

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